



11-06-56

EXPRESS MAIL NO. EV207697414US  
PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1646

## TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/897,778
Filing Date	June 28, 2001
First Named Inventor	Tongtong Wang
Group Art Unit	1646
Examiner Name	
Attorney Docket No.	210121.455C16

NOV 08 2002

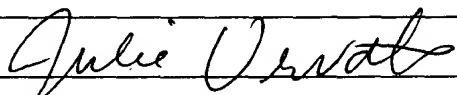
RECEIVED  
TECH CENTER 1600/2900

### ENCLOSURES (check all that apply)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Assignment Papers (for an Application)                          | <input type="checkbox"/> CD(s), Number of CD(s) _____                                      |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Amendment/Response  | <input type="checkbox"/> Request for Corrected Filing Receipt                            | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Petition to Convert to a Provisional Application                | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment Request   | <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address | <input checked="" type="checkbox"/> Return Receipt Postcard                                |
| <input checked="" type="checkbox"/> Third Supplemental Information Disclosure Statement; Form PTO-1449 | <input type="checkbox"/> Declaration   | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):       |
| <input checked="" type="checkbox"/> Cited References (5)   | <input type="checkbox"/> Statement under 37 CFR 3.73(b)                                  | <u>Copy of Partial International Search Report for PCT/US01/21065;</u>                     |
| <input type="checkbox"/> Certified Copy of Priority Document(s)  | <input type="checkbox"/> Terminal Disclaimer   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53                        | <input type="checkbox"/> Small Entity Statement  |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application                              | <input type="checkbox"/> Request for Refund  |  |

### Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Julie A. Urvater, Ph.D. 50,461	
		00500
PATENT TRADEMARK OFFICE		
Signature		
Date	November 5, 2002	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name		
Signature		Date:



EXPRESS MAIL NO. EV207697414US

PATENT

#106  
TECH CENTER 1600/2900  
NOV 08 2002  
RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tongtong Wang et al.  
Application No. : 09/897,778  
Filed : June 28, 2001  
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND  
DIAGNOSIS OF LUNG CANCER  
Art Unit : 1646  
Docket No. : 210121.455C16

Commissioner for Patents  
Washington, DC 20231

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

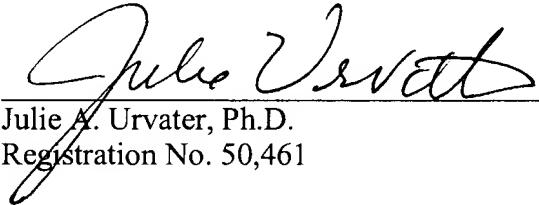
In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Documents on the attached Form PTO-1449 were cited in a Partial International Search Report from corresponding foreign application PCT/US01/21065 (copy enclosed herewith), mailed October 21, 2002, and in a Written Opinion for corresponding foreign application PCT/US01/47576, mailed October 11, 2002, a copy of which is not enclosed herewith. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information

they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

I hereby certify that each of the references set forth on the attached form PTO-1449 were cited in communications from a foreign patent office in counterpart foreign applications not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please acknowledge receipt of this Third Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

  
\_\_\_\_\_  
Julie A. Urvater, Ph.D.  
Registration No. 50,461

JAU:ljt

Enclosures:

- Postcard
- Form PTO-1449
- Form PTB/SB/21
- Copies of Cited References (5)
- Copy of Partial International Search Report for PCT/US01/21065
- Copy of Written Opinion for PCT/US01/47576

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031